# CENTER FOR DRUG EVALUATION AND RESEARCH

APPLICĂTION NUMBER: NDA 20-726/S-006

# **ADMINISTRATIVE DOCUMENTS**

SNDA patent.doc 6-Jun-2000

# Patents and Trademarks Department

Femara® (letrozole tablets)

# **Patent Information**

Authors:

Michael Lee, Robert A. Miranda

Document type:

Document status:

Final

Release date:

June 6, 2000

Number of pages:

2

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# Patent information

The U.S. patents covering Femara® (letrozole tablets, CGS 20267) are as follows:

1. Patent Number:

4,978,672

Patent Expiration Date:

December 18, 2007

Claims:

compound, pharmaceutical composition, use

Patent Owner:

Novartis Pharmaceuticals Corporation

2. Patent Number:

5,352,795 and 5,473,078

Patent Expiration Date:

October 4, 2011

Claims:

process of manufacture

Patent Owner:

Novartis Pharmaceuticals Corporation

Approval Date    Trade Name   Femara Tablets   Generic Name   letrozole	EXCLUSI	VITY SUN	MARY for NDA #	20-726	SUPPL #006
PART I: IS AN EXCLUSIVITY DETERMINATION NEEDED?  1. An exclusivity determination will be made for all original applications, but only for certain supplements. Complete Parts II and III of this Exclusivity Summary only if you answer "YES" to one or more of the following questions about the submission.  a) Is it an original NDA?  YES// NO //  If yes, what type(SE1, SE2, etc.)?  SE1  c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review-only of bioavailability or bioequivalence data, answer "NO.")  YES / X_/ NO //  If your answer is "no" because you believe the study is bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any argument made by the applicant that the study was not simply a bioavailability study.  If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical	Trade N	ame Fer	mara Tablets	Generic Name letrozol	.e
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b) Is it an effectiveness supplement? YES / X / NO / _ /  If yes, what type(SE1, SE2, etc.)? SE1  c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review-only of bioavailability or bioequivalence data, answer "NO.")  YES / X / NO / _ /  If your answer is "no" because you believe the study is bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any argument made by the applicant that the study was not simply a bioavailability study.  If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical	appl: Parts answe	ications s II and er "YES"	<pre>, but only for III of this Ex to one or more</pre>	certain supplements. Coclusivity Summary only	omplete if vou
If yes, what type(SE1, SE2, etc.)?  SE1  C) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review-only of bioavailability or bioequivalence data, answer "NO.")  YES / X / NO / /  If your answer is "no" because you believe the study is bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any argument made by the applicant that the study was not simply a bioavailability study.  If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical	a)	Is it a	n original NDA?	YES//	NO /_X_/
c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review-only of bioavailability or bioequivalence data, answer "NO.")  YES / X / NO / _ /  If your answer is "no" because you believe the study is bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any argument made by the applicant that the study was not simply a bioavailability study.  If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical	b)	Is it a	n effectiveness	<pre>supplement? YES /_X_/</pre>	NO //
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bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any argument made by the applicant that the study was not simply a bioavailability study.  If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical				YES /_X_/	NO //
data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical	_	bioavai exclusi includi: made by	lability study a vity, EXPLAIN wh ng your reasons the applicant	and, therefore, not elic hy it is a bioavailabil for disagreeing with an	gible for ity study, arguments
data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical			*	: -	
·		data but	t it is not an o	effectiveness supplement	t, describe

	YES // NO /_X_/			
If the answer to (d) is exclusivity did the app	"yes," how many years of licant request?			
	<u>-</u> .			
e) Has pediatric exclusivity Moiety?	ty been granted for this Active			
	YES // NO /_X_/			
IF YOU HAVE ANSWERED "NO" TO ALD DIRECTLY TO THE SIGNATURE BLOCK	L OF THE ABOVE QUESTIONS, GO S ON Page 9.			
2. Has a product with the same active ingredient(s), dosage form, strength, route of administration, and dosing schedule previously been approved by FDA for the same use? (Rx to OTC) Switches should be answered No - Please indicate as such).				
	YES // NO /_X/			
If yes, NDA #	Drug Name			
IF THE ANSWER TO QUESTION 2 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS ON Page 9.				
3. Is this drug product or indic	cation a DESI upgrade?			
<del>-</del> -	YES // NO /_X_/			
IF THE ANSWER TO QUESTION 3 IS SIGNATURE BLOCKS ON Page 9 (eve upgrade).	"YES," GO DIRECTLY TO THE in if a study was required for the			

PART II: FIVE-YEAR EXCLUSIVITY FOR NEW CHEMICAL ENTITIES

(Answer either #1 or #2, as appropriate)

# 1. Single active ingredient product.

Has FDA previously approved under section 505 of the Act any drug product containing the same active moiety as the drug under consideration? Answer "yes" if the active moiety (including other esterified forms, salts, complexes, chelates or clathrates) has been previously approved, but this particular form of the active moiety, e.g., this particular ester or salt (including salts with hydrogen or coordination bonding) or other non-covalent derivative (such as a complex, chelate, or clathrate) has not been approved. Answer "no" if the compound requires metabolic conversion (other than deesterification of an esterified form of the drug) to produce an already approved active moiety.

YES / X / NO / \_ /

If "ye: active	s," identif moiety, an	y the	<pre>approved drug product(s) Known, the NDA #(s).</pre>	containing	the-
NDA #	20-726		Femara	<del></del>	

# 2. Combination product.

If the product contains more than one active moiety (as defined in Part II, #1), has FDA previously approved an application—under section 505 containing any one of the active moieties in the drug product? If, for example, the combination contains one never-before-approved active moiety and one previously approved active moiety, answer "yes." (An active moiety that is marketed under an OTC monograph, but that was never approved under an NDA, is considered not previously approved.)

YES /\_\_/ NO /\_\_/

If "yes," identify the approved drug product(s) containing the
active moiety, and, if known, the NDA #(s).
NDA #
NDA #
NDA #
IF THE ANSWER TO QUESTION 1 OR 2 UNDER PART II IS "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON Page 9. IF "YES," GO TO PART III.
PART III: THREE-YEAR EXCLUSIVITY FOR NDA'S AND SUPPLEMENTS
To qualify for three years of exclusivity, an application or supplement must contain "reports of new clinical investigations (other than bioavailability studies) essential to the approval ofthe application and conducted or sponsored by the applicant." This section should be completed only if the answer to PART II, Question 1 or 2, was "yes."
1. Does the application contain reports of clinical investigations? (The Agency interprets "clinical investigations" to mean investigations conducted on humans other than bioavailability studies.) If the application contains clinical investigations only by virtue of a right of reference to clinical investigations in another application, answer "yes," then skip to question 3(a). If the answer to 3(a) is "yes" for any investigation referred to in another application, do not complete remainder of summary for that investigation.
YES /_X_/ NO_//
IF "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON Page 9.
11 NO, GO DINGGIBI TO THE DIGINITION BEOCK ON Page 3.
2. A clinical investigation is "essential to the approval" if the Agency could not have approved the application or supplement without relying on that investigation. Thus, the investigation is not essential to the approval if 1) no clinical investigation is necessary to support the supplement or application in light of previously approved applications (i.e., information other than clinical trials, such as bioavailability data, would be sufficient to provide a basis

for approval as an ANDA or 505(b)(2) application because of what is already known about a previously approved product), or 2) there are published reports of studies (other than those conducted or sponsored by the applicant) or other publicly available data that independently would have been sufficient to support approval of the application, without reference to the clinical investigation submitted in the application.

For the purposes of this section, studies comparing two products with the same ingredient(s) are considered to be bioavailability studies.

avai	lability studies.
(a) 	In light of previously approved applications, is a clinical investigation (either conducted by the applicant or available from some other source, including the published literature) necessary to support approval of the application or supplement?
	YES /_X_/ NO /
	If "no," state the basis for your conclusion that a clinical trial is not necessary for approval AND GO DIRECTLY TO SIGNATURE BLOCK ON Page 9:
(b)	Did the applicant submit a list of published studies relevant to the safety and effectiveness of this drug product and a statement that the publicly available data would not independently support approval of the application?
	YES // NO /X_/
(1	If the answer to 2(b) is "yes," do you personally know of any reason to disagree with the applicant's conclusion? If not applicable, answer NO.
	YES // NO //
	If yes, explain:

	(2) If the answer to 2 published studies not applicant or other puindependently demonst of this drug product?	conducted or spublicly available trate the safety	consored by the data that could
	If yes, explain:	*.	<u></u>
(0	If the answers to (b) identify the clinical application that are	l investigations essential to the	submitted in the
	Investigation #1, Study	# 025	****
	Investigation #2, Study	# 102	
	Investigation #3, Study	#	
to s inve reli prev dupl on b prev some	ddition to being essenti upport exclusivity. The stigation" to mean an ineed on by the agency to diously approved drug for icate the results of anoy the agency to demonstrationsly approved drug prothing the agency considerady approved application	agency interpre vestigation that emonstrate the e any indication ther investigation ate the effective duct, i.e., does not be a second to have been and the second to have been a second to the second	ts "new clinical 1) has not been ffectiveness of a and 2) does not on that was relied eness of a not redemonstrate
(a)	For each investigation approval," has the inverse agency to demonstrate the approved drug product? on only to support the drug, answer "no.")	stigation been re he effectiveness (If the investi	elied on by the of a previously gation was relied
	Investigation #1	YES //	NO /X_/
	Investigation #2	YES //	NO /_X/
	Investigation #3	YES //	NO //
	If you have answered "you investigations, identify NDA in which each was re	y each such inves	ore stigation and the

	NDA # Study # Study # Study # Study #
(b)	For each investigation identified as "essential to the approval," does the investigation duplicate the results of another investigation that was relied on by the agency to support the effectiveness of a previously approved drug product?
	Investigation #1 YES // NO /_X_/
•	Investigation #2 YES // NO /_X/
	Investigation #3 YES // NO //
	If you have answered "yes" for one or more investigations, identify the NDA in which a similar investigation was relied on:
	NDA # Study #
	NDA # Study #
	NDA # Study #
(c)	If the answers to 3(a) and 3(b) are no, identify each "new" investigation in the application or supplement that is essential to the approval (i.e., the investigations listed in #2(c), less any that are not "new"):
_	Investigation # 1 , Study # 025
	Investigation # 2 , Study # 102
	Investigation #, Study #
esse spon or s cond of t or 2 subs supp	e eligible for exclusivity, a new investigation that is ntial to approval must also have been conducted or sored by the applicant. An investigation was "conducted ponsored by" the applicant if, before or during the uct of the investigation, 1) the applicant was the sponsor he IND named in the form FDA 1571 filed with the Agency, the applicant (or its predecessor in interest) provided tantial support for the study. Ordinarily, substantial ort will mean providing 50 percent or more of the cost of study.

(a) For each investigation identified in response to question 3(c): if the investigation was carried out under an IND, was the applicant identified on the FDA 1571 as the sponsor?
Investigation #1 !
Investigation #1 !  IND # YES / X / ! NO / _ / Explain:
!
Investigation #2
IND # \YES /_X_/ NO // Explain:
!
(b) For each investigation not carried out under an IND or for which the applicant was not identified as the sponsor, did the applicant certify that it or the applicant's predecessor in interest provided substantial support for the study?
Investigation #1 !
YES // Explain NO // Explain
· · · · · · · · · · · · · · · · · · ·
Investigation #2 !
YES // Explain ! NO // Explain !
!

(c) Notwithstanding an answer of "yes" to (a) or (b), are there other reasons to believe that the applicant should not be credited with having "conducted or sponsored" the study? (Purchased studies may not be used as the basis for exclusivity. However, if all rights to the drug are purchased (not just studies on the drug), the applicant may be considered to have sponsored or conducted the studies sponsored or conducted by its predecessor in interest.)—

T.E	YES //	NO /_X/	
If yes, explain:		· · · · · · · · · · · · · · · · · · ·	
<del>-</del>			
/\$/			
Ann Staten Signature of Preparer Title: Project Manager		<u>12-12-00</u> Date	_
<u>/\$/^</u>		1/2/2001	
Signature of Office of Divisi	on Director	Date	

CC:
Archival NDA
HFD- /Division File
HFD- /RPM
HFD-093/Mary Ann Holovac
HFD-104/PEDS/T.Crescenzi

Form OGD-011347
Revised 8/7/95; edited 8/8/95; revised 8/25/98, edited 3/6/00

# FDA Links Tracking Links Check Lists Searches Reports Help

PEDIATRIC PAGE (Complete for all original application and all efficacy supplements) View Word Document

**NDA Number:** 

020726 Trade Name:

FEMARA (LETROZOLE) ORAL TABLETS 2.5MG

Supplement Number: 006

**Generic Name:** 

LETROZOLE

**Supplement Type:** 

Dosage Form:

Regulatory Action:

COMIS Indication: ADVANCED BREAST CANCER IN POSTMENOPAUSAL WOMEN

**Action Date:** 

7/12/00

Indication # 1

First-line treatment of postmenopausal women with advanced metastastic breast cancer

Label Adequacy: Does Not Apply

Forumulation Needed: NO NEW FORMULATION is needed

Comments (if any): Waiver granted on July 7, 2000.

**Lower Range** 

**Upper Range** 

Status

Waived

Adult Comments: Waived on 7-7-00. Does not apply

This page was last edited on 12/12/00

Signature

12/12/01

SNDA debarrment.doc 5-Jun-2000

# Femara® (letrozole tablets) SNDA 20-726

# NOVARTIS CERTIFICATION IN COMPLIANCE WITH THE GENERIC DRUG ENFORCEMENT ACT OF 1992

Novartis Pharmaceuticals Corporation certifies that it did not and will not use in any capacity

the services of any person debarred under section 306 of the Federal Food, Drug and Cosmetic
Act in connection with this application.

Data

Robert A. Miranda
Associate Director
Drug Regulatory Affairs



SNDA Financial Disclosure.doc 26-Jun-2000

# Femara® (letrozole tablets) SNDA 20-726

Item 19. Other

**Financial Disclosure** 

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without the consent of Novartis Pharmaceuticals Corporation

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# APPEARS THIS WAY ON ORIGINAL

APPEARS THIS WAY ON ORIGINAL

# 1. FDA Forms

FDA Forms 3454 and 3455 are attached as applicable

## 2. Overview

# 2.1. Process used to collect information retrospectively

- Letters were sent out to all investigators requesting financial disclosure information
- A follow up letter was sent to investigators if no reply was received after four weeks and an additional letter four weeks later if necessary
- At study close out and/or as part of retrospective collection the investigators were told to update Novartis for 1 year from LPLV (last patient last visit) at their site if any change
- retrospective collection of financial disclosure information (for studies on going as of February 2, 1999)

### 2.2. Methods used to minimize bias

- independent data monitoring via Novartis or CRO
- multiple investigators used in the studies
- double-blind active controlled trials used

# 2.3. Description of Spreadsheets

- -- shows principal investigator, subinvestigators, children & spouses (if applicable)
- shows forms received
- shows whether there was something to disclose
- shows if investigator refused to reply

# 2.4. Summary of Findings

Only one investigator had financial information to disclose in Fernara study No. 025 (at center M1836Y). The principal investigator preported that had received grant money, honoraria and consulting fees either directly or indirectly from Novartis.

# 3. Spreadsheets

The spreadsheets or site certification forms are attached and organized by study:

- Study No. 025
- Study No. 102

# 4. \_\_ Individual Disclosure Forms

The individual disclosure forms containing information to disclose are attached as required. Only one investigator, Dr\( \) \(

# 5. Attachments:

- FDA Form 3454
- FDA Form 3455
- Spreadsheet for Study 025 (US)
- Spreadsheet for Study 025 (non-US)
- Certification forms for Study 102
- Disclosure form containing information to disclose for Dr.)

APPEARS THIS WAY ON ORIGINAL

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

Public Health Service Food and Drug Administration

# **CERTIFICATION: FINANCIAL INTERESTS AND** ARRANGEMENTS OF CLINICAL INVESTIGATORS

Form Approved: OMB No. 0910-0396 Expiration Date: 3/31/02

With respect to all covered clinical studies (or specific clinical studies listed below (if appropriate)) submitted in support of this application, I certify to one of the statements below as appropriate. I understand that this certification is made in compliance with 21 CFR part 54 and that for the purposes of this statement, a clinical investigator includes the spouse and each dependent child of the investigator as defined in 21 CFR 54.2(d).

TO BE COMPLETED BY APPLICANT

### Please mark the applicable checkbox.

冈	(1) As the sponsor of the submitted studies, I certify that I have not entered into any financial arrangement with
_	the listed clinical investigators (enter names of clinical investigators below or attach list of names to this
	form) whereby the value of compensation to the investigator could be affected by the outcome of the study as
	defined in 21 CFR 54.2(a). I also certify that each listed clinical investigator required to disclose to the
	sponsor whether the investigator had a proprietary interest in this product or a significant equity in the
	sponsor as defined in 21 CFR 54.2(b) did not disclose any such interests. I further certify that no listed
	investigator was the recipient of significant payments of other sorts as defined in 21 CFR 54.2(f).

rotagi	See attached list	
of Invest	<del>-</del>	
Clink		

(2)	As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant, certify that based on information obtained from the sponsor or from participating clinical investigators, the
	listed clinical investigators (attach list of names to this form) did not perticipate in any financial
	arrangement with the sponsor of a covered study whereby the value of compensation to the investigator for
	conducting the study could be affected by the outcome of the study (as defined in 21 CFR 54.2(a)); had no
	proprietary interest in this product or significant equity interest in the sponsor of the covered study (as
	defined in 21 CFR 54.2(b)); and was not the recipient of significant payments of other sorts (as defined in
	21 CFR 54.2(f)).

	(3) As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant	Ļ I
_	certify that I have acted with due diligence to obtain from the listed clinical investigators (attach list of	
	names) or from the sponsor the information required under 54.4 and it was not possible to do so. The reas	ON
	why this information could not be obtained is attached.	

NAME David Parkinson, MD	V.P., Clinical Research and Development
FIRMORGANIZATION  Novartis Pharmaceuticals Corporation, Eas	t Hanover, NJ 07936
SIGNATURE MONSELO LULA	June 26,-2000

Paperwork Reduction Act Statement

eacr, and a person is not rec jner et abo tion of information unless it displays a currently valid OMB central number. Public ing burden for this collection of information is estimated to average 1 hour per ng ourses for test consecues of experiments is extended to average 1 and per in, including time for reviewing instructions, searching existing data sources, ing and maintaining the accessive data, and completing and reviewing the collection martics. Send comments regarding this burden estimate or any other aspect of this ction of information to the address to the right:

Department of Health and Human Services Food and Drug Administration 5600 Fishers Lane, Room 14C-03 Reskville, MD 20057

FORM FDA 3454 (3/99)

Created by Electronic Donament Services/USDHB15: (301) 443-3454 EF

### DEPARTMENT OF HEALTH AND HUMAN SERVICES

Public Health Service Food and Drug Administration

Form Approved: OMB No. 0910-0396 Expiration Date: 3/31/62

	DISCLOSURE: FINANCIAL INTERESTS RANGEMENTS OF CLINICAL INVESTI			
AK		ETED BY APPLICA	UT	
The fo	ollowing information concerning Dr		) who par-	
ticipat	ted as a clinical investigator in the submitte	Name of clinical im	remgator nara Study P025	
			Name of	_
		, is subm	itted in accordance with 21 CFR part	
54. TI	el midy he named individual has participated in fina quired to be disclosed as follows:	ancial arrangem	ents or holds financial interests that	-
	Please mark the app	dicable checkbases.		
	any financial arrangement entered into betwee investigator involved in the conduct of the cothe clinical investigator for conducting the strength of the co	vered study, who	creby the value of the compensation to	
$\boxtimes$	any significant payments of other sorts made covered study such as a grant to fund ongoing retainer for ongoing consultation, or honorari	research, comp		.•
	any proprietary interest in the product tested	in the covered st	udy held by the clinical investigator,	
	any significant equity interest as defined in 2 sponsor of the covered study.	1 CFR 54.2(b), h	neld by the clinical investigator in the	
descrip	s of the individual's disclosable financial arran ption of steps taken to minimize the potential tements or interests.			
NAME David	I Parkinson, MD	V.P. Clinic	al Research and Development	
	rganization rtis Pharmaceuticals Corporation, Eas	t Hanover, N.	J 07936	
SIGNAT	margined Drylen		June 26, 2000	
	Paparwork Roduct	tion Act Statement	<u> </u>	
valid Oli time for	cy may not conduct or sponsor, and a person is not required  (B control number. Public reporting burden for this collection reviewing instructions, searching existing data sources, gath ction of information. Send comments recording this burden	to respond to, a collect on of information is a aring and maintaining	stimated to everage 4 hours per response, including the assessmy date, and completing and reviewing	

Department of Health and Human Services Food and Drug Administration 5600 Fishers Lane, Room 14C-03 Rockville, MD 20857

FORM FDA 3455 (3/99)

Created by Electronic Document Services/USDESS: (301)

Conter Number	Principal Investigator	Study Facility	City	State	Form Submitted	investigator not submitting form	information to disclose	investigator to disclose	Remarks:
M1300L	AIGOTTI	HEALTH ADVANCE	SOUTH BEND	IN				4.	CANCELLED PER
		INSTITUTE !						- Iu-	SIF DATED
									7/29/97
M1389P	ALDEN M	GRAND VIEW	SELLERSVILLE	PA	VEO	· · · · · · · · · · · · · · · · · · ·	-		
m13557	ALDEN III	HOSPITAL	SELLEHSAILLE	PA	YES	<del> </del>	NO		
M13901	ALLGOOD J	ESCONDIDO	ESCONDIDO	CA	YE8		NO		
		HEMATOLOGY		.					
		ONCOLOGY MEDICAL				<u> </u>			
		CENTER		<del> </del>	<u></u>				
M1391M	BALCUEVA E		SAGINAW	M	YES		NO	· :	
				1_					
M1302Q	BARNES L	HOLT KROCK	FORT SMITH	AR	NO NO	BARNES L		ļ — <u> </u>	Did not
		CLINIC							perticipals.
								-	
· · <del>- · · · · · · · · · · · · · · · · ·</del>				<del> </del>					
M1393U	<b>BERNSTEIN</b> J	SCRIPPS MEMORIAL	LA JOLLA	CA	NO	UGORETZ R	NO		
		HOSPITAL		T			-		
		ONCOLOGY				,		-	<u> </u>
		RESEARCH PROGRAM							
M1394Y	BERRY	REX CANCER	RALEIGH	NC		·	<u> </u>	<u> </u>	
<del></del>	DC/W11	CENTER	PALEIGN	100	·	<del> </del>	-		Did not
	<del></del>	CENTEN		<del> </del>	<del> </del>				perticipate in study.
				1-	<del> </del>	<del>                                     </del>			
	<del></del>	<del></del>	<del> </del>		<del> </del>	<del>                                     </del>	-	-	<u> </u>

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Conter Number	Principal Investigator	Study Facility	City	State	Form Submitted	Investigator not submitting form	Information to disclose	investigator to	Remerks:
M1306C	DIERMANN	THOMAS JEFFERSON	PHILADELPHIA	PA					Did not
		UNIVERSITY '		1				4	perticipate in
	,	HOSPITAL '					_		eludy.
	1								13.
M1396G	BITRAN	LUTHERAN GENERAL	PARK RIDGE	IL.	NO	BRUETMAN D	NO		·
		CANCER CARE				DEVINE 8			
		CENTER				MILLER JO			
		DIVISION OF				MILNER LS			
		HEMATOLOGY				PAIK PC			
		ONCOLOGY				ROSE CG			
						STONE LA			
1						:			
M1397K	BLACHLY R	NEA CLINIC	JONESBORO	AR	NO	BICE CD	NO		i
				_				3	!
M13980	BLAYNEY DW	WILSHIRE	POMONA	CA	YES		NO NO		
<del></del>		ONCOLOGY MEDICAL	· OMOIN	-	120		- <del> </del>	<del> </del>	
		GROUP	<del> </del>	<del> </del>	·	<del></del>	-	·	
<del></del> ;		ROBERT AND		<del></del>	<del> </del>	·		1	
		BEVERLY LEWIS	<del> </del>	1		<u> </u>		1	
		CANCER CENTER	<del> </del>	1		<del>                                     </del>		<del> </del>	
				<del>                                     </del>	<del>                                     </del>	<u> </u>			
M13008	BORDELON	GREEN CLINIC	RUSTIN	LA	ļ	,		1	CANCELLED PER
				<b>†</b>		<u> </u>		<del></del>	SIF DATED
									7/14/07
		1						1.	
M1400J	<b>BROTHERTON TW</b>	DANMILE	DANVILLE	VA	NO	JOHNSON DE			
		HEMATOLOGY &							
		ONCOLOGY INC							
	·	•							
M1401N	BROWN R	ONCOLOGY	SARASOTA	FL	YE8		NO		
		HEMATOLOGY	<u> </u>	1		J	_		
		CONSULTANTS							
<u></u>	• .				1. 14				1
M1402R	CAGGIANO V	<b>BUTTER CANCER CTR</b>	SACRAMENTO	CA	NO	CAGGIANO V	_1		

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	<b>L</b>	•	1	1	_			i	
Center Number	Principal	<u></u>	<b></b>	L	Form	Investigator not	Information	Investigator to	
	Investigator	Study Facility	City	State	Submitted	submitting form	to disclose	disciose	Remarks:
M1403V	CAMPOS L	ONCOLOGY	HOUSTON	TX	NO	MIRO QUESADA M		ļ	l
		CONSULTANTS				MANNER CE			
				.		HOLOYE PY		<u>.</u>	
		1				SANFORD DB			"
				_l		WARGO MR			
		1.				SPENCER JA			
M1404Z	CARTWRIGHT T	OCALA ONCOLOGY	OCALA	FL	NO	CARTWRIGHT T			
_		CENTER						1	
				7					· <del></del>
M1406D	CERNAIANU	STATEN ISLAND	STATEN	NY		† <del></del>	l	1	
		UNIVERSITY	ISLAND	1	1	·			
		HOSPITAL		-		<del> </del>			<del></del>
						<u> </u>	r		<del></del> -
M1408H	ASBURY R	INTERLAKES	ROCHESTER	NY	YES	<u> </u>	NO	·-·	DR. ASSURY HAS
	CHANG A	ONCOLOGY		<del> </del>	==	<b> </b>	<u> </u>		REPLACED DR.
		HEMATOLOGY			l				CHANG AS PI
	-				<del> </del>	ļ		ļ	PER FDA FORM
		<del></del>	<del></del>			·		·	
						<del></del>	<del></del>	<b> </b>	1672 DATED
		- <del>•</del>	· · · · · · · · · · · · · · · · · · ·	╂	<del> </del>	<del> </del>		<del> </del>	6/6/9
				—	ļ			ļ	
M1407L			<u> </u>	<del> </del>			ļ		
MI40/L	COSGRIFF T	DRUG RESEARCH	METAIRIE	LA		.,		<b>↓</b>	CANCELLED SIF
	<del>-  </del>	SERVICES						l+	DATED 11/10/97
		<u>'</u>			<b></b>				
M1408P	CUMMINGS F	ROGER WILLIAMS	PROVIDENCE	RI	NO	DORES G	NO		
	REGE V	MEDICAL CENTER				MILLER M			
		DEPARTMENT OF				AKERLEY W			
		MEDICINE		1		1			
		RHODE ISLAND							
		HOSPITAL					† <del></del>		
				1					
M1400T	DENES A		ST LOUIS	MO	NO	DENES A			No patiente
			- <del>  -                                  </del>	- <del> </del>	<del>                                     </del>			· - · · · · · · · · · · · · · · · · · ·	enrolled.

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# 19-10

# Fernara Protocol 25 US Financial Disclosure

Center Number	Principal Investigator	Study Facility	City	21-1-	Form Submitted	investigator not submitting form	information to disclose	Investigator to	Remarke:
······································		Oldery Flatency	CALLY	-		January 101m	- <del> </del>		-
M1411Q	DISTEFANO A	RESEARCH ACROSS	DALLAS	TX	NO	PESKIND 9		·   #	<del></del>
	• • • •	AMERICA		1		RETTIG J	-	· [	
	-	1	1	1			<b></b>		<del></del>
W1410M	DICKMAN E	MERIDIA HILCREST	MAYFIELD	ОН	NO	DICKMAN E		1	<u> </u>
		CANCER CENTER	HEIGHTS	1					
				1				·	
M1412U	DORR V	UNIVERSITY OF	COLUMBIA	MO	NO	PERRY M			
		MISSOURI-COLUMBI				FARHANGI M			
		A				ANDERSON C		1	
		ELLIS FISCHEL				ELWING T			
		CANCER CENTER				WILKES J			
M1413Y	FERRI	ALLEGHENY	PITTSBURGH	PA			i.		CANCELLED PER
	,	GENERAL HOSPITAL		_l					SIF DATED
				-					8/25/1
M1414C	FINE R	COLUMBIA	NEW YORK	NY	NO	HESDORFFER C		l	CFEM3450025002
		PRESBYTERIAN				VAHDAT L			8US
		UNIVERSITY				TIERSTEN A			
		MEDICAL CENTER				STARON R			
		DIVISION OF							
		MEDICAL ONCOLOGY				i			
						<u> </u>			
M1415G	FREDRIC RK		FORT WORTH	TX	YES		NO		
		1							,
			<u> </u>		<u> </u>				
M1410K	FRONTIERA M	DEAN MEDICAL	MADISON	WI	NO	FRONTIERA M			
	_	CENTER			ļ <u>-</u>				ļ
M1417O	GALLARDO R	UNIVERSITY OF	TYLER	TX	YES		NO	- <del></del>	
•		TEXAS AT TYLER							
									]
M14188	HAINSWORTH J	SARA CANNON	NASHVILLE	TN	YES 'T	· ·	NO	1	
		CANCER CENTER		T 7			_ [	1	

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Conter	Principal				Form	Investigator not	Information	Investigator to	
Number	Investigator	Study Facility	City		Submitted	submitting form	to disclose	disclose	Remarko:
M1419W	INHORN	CANCER CENTER OF	ROANOKE	VA					CANCELLED PER
		SOUTHWEST		l	L:	·		#1	SIF DATED '
		VIRGINIA		ļ <u> </u>			_		8/6/97
		'N , , ".		<u> </u>		<u> </u>			ļ
M1420P	JABBOURY K	JABBOURY	HOUSTON	TX	YES		NO	<u> </u>	
		FOUNDATION FOR		<u></u>		<u> </u>		1:	
		CANCER RESEARCH		<u> </u>					
M1421T	KALMAN LA	ONCOLOGYAHEMATOL	MAM	FL	NO	OREN ME			
		OGY GROUP OF	<del></del>						
		SOUTH FLORIDA							
M1422X	KUMAR		CLEARWATER	FL		<u> </u>			CANCELLED PER
-						<b> </b>			SIF DATED
									7/14/97
M1423B	LEMKE	SUNY- HEALTH	SYRACUSE	NY	<del></del>	<u> </u>			CANCELLED PER
		SCIENCE CENTER	-	<del>                                     </del>				·	SIF DATED
				<u> </u>					7/14/97
M1424E	LEWIS M	MEMORIAL	HOLLYWOOD	FL	NO	KREIN	NO	<u> </u>	
		REGIONAL CANCER	1	<del>                                     </del>	<del> </del>			-	
•		CENTER		<del>                                     </del>			_		
						7			
M1426J	LIEBMANN J	NEW MEXICO	ALBUQUERQUE	NM	YES		NO		
		ONCOLOGY							1
		HEMATOLOGY		1	1				
		CONSULTANTS							
M1420N	LINEBERRY DK	SORRA RESEARCH	BIRMINGHAM	AL	NO	LINEBERRY DK	NO	<b>-</b>	
		CENTER		1	<u> </u>	MARSCH JT		-	· - ·

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Conter	Principal			1	Form	Investigator not	Information	Investigator to	
Humber	Investigator	Study Facility	City	State	Submitted	oubmitting form	to disclose	disclose	Remarks:
M1427R	LIPTON A	HERSHEY MEDICAL	HERSHEY	PA	NO	LIPTON A		,	:
	,	CENTER		T		RYBKA WB	1	41	1
	1				<u> </u>	HARVEY HA		1	
	1	1				HOPPER K			17
						GAREIS M			
						DELO J			
		·		1		ALI SM		1	
				]		FARIDI AA			
M1426V	LYONS R	METHODIST PLAZA	SAN ANTONIO	TX	NO	LYONS RM	<del>                                     </del>	- <b>-</b>	
		III. THOUSAND TEACH		<del> '^-</del>	<del> </del>	GUZLEY GJ	<del></del>	<del></del>	
			<del>                                     </del>		,	GOLDBERG HL	-}- <del>-</del>	· <del>  </del>	
		, <u>1</u>				WASH CD	- <del> </del>	1	,
					<del> </del>	FIDIAS P	·[	13	·
				1		GOLDEN D	1		
						MCMURRAY DC			
M1429Z	MALAMUD	BETH ISRAEL	NEW YORK	NY				·	ļ:
		MEDICAL CENTER	THE IT TO THE	-	ł		·   · · <del>- · · · · · · · · · · · · · · · · </del>	·	
		CANCER CENTER		-	<del> </del>			·	·
	<del></del>			1	<del></del>	· · · · · · · · · · · · · · · · · · ·		·	
M14308	MARSH R	MD ANDERSON	ORLANDO	FL	NO	MARSH R			
		CANCER CENTER				BROWN CH			
						NYBERG DA			
						CLAIRBORNE A			
		1 1				MAMUS SW		1.	
						ROBERTSON CO			
M1431W	MCCRACKEN JD	ONCOLOGY FOR SAN	SAN ANTONIO	TX	YE8		NO		
		ANTONIO P A							
M1432A	MCCACHREN 8	THOMPSON CANCER	KNOXVILLE	TN	NO	COWAN JD		····	
		SURVIVAL CENTER		1		<del>                                     </del>			
			<del>†</del>	1	<u> </u>	1	1		
M1433E	MIRTSCHING	MEDICAL CITY	DALLAS	TX	100	<del>                                     </del>	1		CANCELLED PER
		DALLAS	1	1	<u> </u>				SIF DATED 9/8/97

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Center Number	Principal Invoctigator	Study Facility	Chy	State	Form Submitted	investigator not submitting form	information to disclose	investigator to disclose	Romarka:
M1434I	MISKIN DM	PALM BEACH	WEST PALM	FL	NO	MISKIN B	_	t	CANCELLED PER
		RESEARCH CENTER	BEACH	7		ROWE DH		7	SIF DATED
		n 1		-[		CANEDO 8			3/2/98
	. '			1		ZAMBRANO G			
						GARDNER R			
						THAYER KJ			
				-		SCHULTZ A			
						LARSEN WC			
M1436M	ODDERS RN	SOUTHEASTERN	RACINE	wi	NO	ODDERS R			
· <del></del>		WISCONSIN		_		MULLANE MP		1	· · · · · · · · · · · · · · · · · · ·
		REGIONAL CANCER		· ·		KIM BH	-	- <del> </del>	i
		CENTER			·				
M1436Q	OROURKE M	HEMATOLOGY AND	GREENVILLE	SC SC	NO	OROURKE MA	-		
		ONCOLOGY		1	<del></del>	BROOKER R			
1		ASSOCIATES				GLUCK WL	-		
				-		WALLS JD			··· <del>··································</del>
		<u> </u>		-1		GOCOCO KO			
				1		KING GW			
		<u> </u>		<del></del>	l	GIGUERE JK		1	
)						CHRISTMAN KL			
		<del> </del>	ļ	<b>.</b>		GARNER BA			
M1437U	OSBORN DC	WESTERN	OLYMPIA	WA	NO	GORTON SJ	NO	<u> </u>	
m14010		WASHINGTON	OCT III OT	-	<u> </u>	KANG M	- <del> '</del>	1	·- <del></del>
	<del></del> }	CANCER TREATMENT	<del> </del>		<del> </del>	ROBERTSON PA	-		
		CENTER	\ <del></del>	-		BROWN MK		·	
	!								
M1430Y	PENDERGRASS K	KANSAS CITY	KANSAS CITY	MO	YES	<del></del>	NO		
		INTEHNAL						.	
	<u> </u>	MEDICINE	1	_1	L	1		1	1

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Conter Number	Principal Investigator	Study Facility	City	State	Form Submitted	Investigator not submitting form	Information to disclose	Investigator to disclose	Romerko:
M1439C	PETRUSKA PJ	STLOUIS	ST LOUIS	MO	YES		NO	7	;
		UNIVERSITY			·	1		H	
		HEALTH SCIENCES						<del></del>	
		CENTER						1	17
									;
41440V	PRUITT B	HARRINGTON	AMARILLO	TX	NO	NASH C	NO		
		REGIONAL	T		· · · · · · · · · · · · · · · · · · ·				
		HOSPITAL							
		DON & SYBIL							
		HARRINGTON							
1		CANCER CENTER		_					
W1441Z	RAISH R	PHYSICIANS	MT VERNON	- WA	NO	ZIMMERMAN TA	NO NO	<del> </del>	CANCELLED PER
		PHARMACEUTICAL						-	SIF DATED
		STUDY SERVICES		1					3/2
M1442D	OCONNOR B	ONCOLOGY CARE	FREDERICK	MD	YE8	·	NO	·	DR GREGORY
		CONSULTANTS					1	1	RAUSCH IS
		•							REPLACED BY DR
									BRIAN OCONNOR
W1443H	READLING J	LOURDES HOSPITAL	BINGHAMTON	NY	YES		NO .	<del> </del>	
)		REGIONAL CANCER			1	,			
		CENTER				,			
W1444L	SHIFTAN T	SHARP HEALTH AND	SAN DIEGO	CA	YES		NO	<u> </u>	DR IVOR
	<b>GUTHEIL J</b>	SIDNEY KIMMEL						1	ROYSTON IS
		CANCER CENTER		1					REPLACED BY DR
				1					J GUTHEIL
							1.		DR J GUTHEIL
				T				1	IS REPLACED BY
								1	DR T SHIFTAN
			,		1		1		PER FDA FORM
						• • • • • • • • • • • • • • • • • • • •			1572 DATED

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Center Number	Principal Investigator	Study Facility	City	State	Form Submitted	Investigator not submitting form	Information to disclose	investigator to disclose	Remarks:
M1446P	SAMBANDAM 8	JM CLINICAL,	SWANSEA	MA	NO	SAMBANDAM S	_	Hr	
m (170)	Grand Crain 6	TRIALS INC	- STATOEA	·	<u> </u>	STATE OF THE STATE		-{- <del></del>	
	<del></del>	Tranco are		<del> </del>					13
M1446T	KROENER J	SCRIPPS CLINIC	LA JOLLA	CA	NO	ANDREY J	NO	<b></b>	DR ALAN SAVEN
		M8 312							IS REPLACED BY
				T					DR JOAN
	·								KROENER
		,		1	1				CFEM3450025006
				1					1U8
M1447X	SCHWARTZBERG L	THE WEST CLINIC	MEMPHIS	TN	YES		NO		
M144/X	SCHWAH1ZBEHG L	THE WEST CLINE	MEMPTIS	<u>                                   </u>	169	<u> </u>	-   <del>""  </del>	ļ	
-				<del> </del>		· · · · · · · · · · · · · · · · · · ·		-   - !	
M1440B	SENNABAUM	GULF POINTE	HUDSON	FL					CANCELLED PER
		CANCER CENTER							SIF DATED
				ļ					7/14/97
M1449F	SMITHR	SOUTH CAROLINA	COLUMBIA	SC SC	NO .	MCELVEEN LJ	NO		
		ONCOLOGY	-	1	<del> </del>	ACKERMAN MA	··   ·==	-	<u> </u>
		ASSOCIATES		1		TOOLET MARKET MARK			
				9C					
M1460X	RAVICHANDER P	GREENVILLE	GREENVILLE	8C	NO	HINES WB	NO	.	
		MEMORIAL MEDICAL		<b>↓</b>	<b></b>		<b></b>	-	
		CENTER		<del> </del>	<u> </u>		<del></del>	<del>-</del>	
M1451C	TCHEKMEDYIAN N	PACIFIC SHORE	LONG BEACH	CA	NO	LEVAN AM		-   '	
		MEDICAL GROUP							
M1462G	TERPENNING M		SANTA MONICA	CA	YES		NO .		CANCELLED PER
m 1702U	I ELM ELMING IN		SARTA MOTIVA	<del> ``</del>	1163	<del> </del>	-	· · · · · · · · · · · ·	SIF DATED
· · ·			1	† <del></del>	<del> </del>				10/20/97
M1463K	TKACZUK K	UNIVERSITY OF	BALTIMORE	MD	NO	TKACZUK K			
		MARYLAND CANCER						I	

Center Number	Principal Investigator	Study Facility	City	State	Form Submitted	investigator not submitting form	information to disclose	investigator to disclose	Romark	::
M1464O	TRAVIS P	HIGHLANDS	FAYETTEVILLE	AR	NO	TRAVIS P			CANCE	LLED PER
		ONCOLOBY GROUP				BECK JT		1 2.	SIF DAT	ED.
				1		HAYWARD M		'		11/10/97
		,				BRADFORD DE				17.
						CHERRY J				
M14668	WADE	DECATUR MEMORIAL	DECATUR				<del></del>		CANCE	LLED PER
<u></u>		HOSPITAL		1=	<del></del>	1.	-		SIF DAT	
	· · · · · · · · · · · · · · · · · · ·			1				1		7/29/97
			·							
M146 <b>0</b> W	WATERFIELD W	ST AGNES	BALTIMORE	MD	YES		NO	<u></u>		
		HOSPITAL								
	,			<u> </u>					<u> </u>	i
M1457A	WEICHERT K	COMMUNITY	CINCINNATI	QH	YE8		NO	<u> </u>		
		RESEARCH			l					
		MANAGEMENT	<u> </u>	_						
		ASSOCIATION					_			÷
		THE LINDNER				<b></b>	<b></b>		l	
		CLINICAL TRIAL			<b></b>		-		<b>!</b>	
		CENTER	·	<b>_</b>		<u> </u>	-		l	
M1460E	WEISSMAN	CAPITAL DISTRICT	LATHAM	NY				_	CANCE	LLED PER
M   100E	AFIOOMAN	HEMATOLOGY	LATTINI	-	<del> </del>	<del> </del>	- <del> </del>	- <del> </del>	SIF DAT	
4		ONCOLOGY		-	<del> </del>	· · · · · · · · · · · · · · · · · · ·		┨┈┈┈	J	8/8/97
	_	ASSOCIATES		+		<del>                                     </del>	-		1	
		1	<del> </del>	-	<del></del>					
M1460I	BERTRAM K	MADIGAN ARMY	TACOMA	WA	NO	SHEFFLER R	NO	- <del>                                     </del>	DR. WIL	LLIAMS
		MEDICAL CENTER	1	1		GAUR R		1	IS REP	LACED AS
		HEMATOLOGY	,			TIMMONS J			PRINCH	PAL
		ONCOLOGY SERVICE				JONES M	-		INVEST	IGATOR
									BY DA.	BERTRAM
		,							CFEM3	460025007
			ļ		<del>                                     </del>			<u>.  </u>	<u> </u>	
			<u> </u>	1	1	<u>, þ. ,</u>			<u> </u>	

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Conter Number	Principal Investigator	Study Facility	City	State	Form Submitted	Investigator not outsmitting form	information to disclose	Investigator to disclose	Romarks:
M1400B	YANAGHARA R	SOUTH VALLEY	GILROY	CA	NO	LEONJ	NO		
		HOSPITAL CAMPUS						4.	. 1
M1461F	YANES B	MEDICAL ONCOLOGY	DAYTON	ОН	YES	·	NO		· "
1		HEMATOLOGY							
M4 400 l	ZIMMER M	DOCTORS CLINIC	VERO BEACH	FL	YES	i .	NO NO	<u> </u>	
M1402J	Zammers on	RESEARCH	VEHU BEACH	FL	160	<del> </del>	<del></del>	<u> </u>	
	<del>                                     </del>	MESEANUN	<u> </u>		<u> </u>	<del>                                     </del>		<del></del>	
M1836Y	ղ'			1	NO			」。 丁	
m 1000 1	<del>\\</del>	CENTER	T	<u> </u>	<u> </u>	FELICE AJ	<u> </u>	1	L:
		GEORGETOWN		<b></b>		HEYER DM	·	·	<del></del>
	<del></del>	UNIVERSITY	<b></b>	1-	<del> </del>	GOLDSTEIN K	-	1	i
	-	HOSPITAL	<del> </del>	<del> </del>		KRESSEL B	<del></del>	1.5	<del></del>
	<del>- </del>	TOO TITLE	<del> </del>	1	- <del></del>	MONDZAC A	_ [		
<del></del>				-	l	SMITH F	<del>-  </del>	·	·
<del> </del>			·	1	l	GINSBERG 8	_	T	
						SACKS TL			
				-		ADELSON E			
				_	1	FEIGERT JM			
						HAYES DF			
					<u> </u>	·	- ·	ļ	
M1463N	RODRIGUEZ R	DAMLUJI CLINIC	SAN DIEGO	CA	NO	RODRIGUEZ R			
			100000	1	<del> </del>	VAN LORIN KJ	- <del> </del>	·	
					· · · · · · · · · · · · · · · · · · ·		-		
M1464R	YUNUS F	METHODIST	MEMPHIS	TN	NO	YUNUSF		1	<del></del> -
	1,4,40.0	HOSPITAL CENTRAL		1	1	REEDJ	-		
		THE BOSTON	<del> </del>	-	· · · · · · · · · · · · · · · · · · ·	SPIERS K			
		CANCER GROUP		1		BOSTON B			
	•	PLLC				ROBERTS J			
								I	l
M1405V	DIPILLO F	LONG ISLAND	BROOKLYN	NY	NO	ROSENTHAL CT	NO	T	
		COLLEGE HOSPITAL				, ,	T	1	

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			T	Т	T		<del></del>	<del></del>	T -
Center	Principal		1		Form	Investigator not	Information	Investigator to	
Number	Investigator	Study Facility	City	State	Submitted	submitting form	to disclose	disclose	Romerke:
M1837C	QUTHERIE	UNIVERSITY OF	JACKSONVILLE	FL					CANCELLED PER
· 		FLORIDA MEDICAL					1	A.	SIF DATED
		CENTER						· · · · · · · · · · · · · · · · · · ·	4/14/96
M151 <b>CM</b>	BADOLATO CJ	A\$80CIATED	MELBOURNE	FL	NO	BADOLATO CJ			
	. 1	MEDICAL RESEARCH				DELIGIDISH CK			
		HEALTH ADVANCE		1		KERCHER RL			
		INSTITUTE	•						
M1838G	HARRER G	BIG SKY HEALTH	GREAT FALLS	MT	NO	GUTER KA	NO		
		CARE	· .					1	
M1830K	LEVIN M	BROOKDALE	BROOKLYN	NY	YE8		NO	1.	
		UNIVERSITY		.	<u></u>		k.		
		HOSPITAL	<u> </u>	<u>L</u>		L			
								·	,
M1819E	SCOUROS M	HOUSTON CANCER	HOUSTON	TX	NO	SCOUROS MA			
		INSTITUTE				TASHIMA CK			
						TENNYSON KB			
			·	<u> </u>	<u></u>				
M1040D	SILVERMAN P	CASE WESTERN	CLEVELAND	ОН	YES		NO		
	•	RESERVE		ļ					
<del></del>	<u> </u>	UNIVERSITY						i	
		HOSPITALS OF				,			
	<u> </u>	CLEVELAND							
		IRBLAND CANCER							1
1		CENTER		J					
M1041H	WADE	DECATUR MEMORIAL	DECATUR	GA					CANCELLED PER
		HOSPITAL				· ·			SIF DATED
							-	1	1/19/98

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Center Humber	Principal Investigator	Study Facility	City	State	Form Submitted	investigator not submitting form	Information to disclose	Investigator to	Remarks:
M1842L	WARD J	UNIVERSITY OF	SALT LAKE	UT	NO	WARD JH		1	
		UTAH HEALTH	CITY			HUSHNER JP'		1	,
		SCIENCES CENTER	•			BUYS SS			
		DIVISION OF				SAMLOWSKI WE			
		HEMATOLOGY				GLENN M			
	_	ONCOLOGY				CRIM J			
M1677O	CHAPMAN R	HENRY FORD	DETROIT	M	NO	CHAPMAN R	·	<u> </u>	·
		HEALTH SYSTEM				WOLLNERI	-	·	
· <del>-</del> · · · · · · · · · · · · · · · · · · ·						ANDERSON J			
<del></del>						BRICKER L	1	·	
						DOYLE T			
		1		•		LEONARD R			
						JANAKIRAMAN N	£	1-,	
						KISHJ			
						OBRYAN R		1	
-						STOLTENBERG M			
			. [			LEHMAN D	:	1	
						YUB			,
						TEJWANI 8			
						PALLAS 8		1	
						CASAS E			
•	, , , , , , , , , , , , , , , , , , , ,					BARTHEL B			
						WONG W			
						PEARLBERG J			
		1 1				RAJEH N		11	1
						YEE KH			
		′				SCHNEIDER J			
						NYSTROM JS			
						EVANS J			
			1		1	SCHMIDT C			

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·						1	<del> </del>	1	
Conter Number	Principal Investigator	Study Facility	City	State	Form Submitted	Investigator not submitting form	information to discisse	investigator to	Remarko:
M18788	JUBELIRER 8	CAMCARE HEALTH	CHARLESTON	wv	NO .	JUBELINER SJ		1 7	
		EDUCATION &		-		SHAH AB	··	1 //	
		RESEARCH		1	I	FRAME JN		1'	
		INSTITUTE	1			WILLIS H		1	<del>  -                                   </del>
			<u> </u>						,
						WILLIAMS RF		· · · · · · · · · · · · · · · · · · ·	
M1079W	MCCANN J	BAYSTATE MEDICAL	SPRINGFIELD	MA	NO	WEINREB 8	NO	<del></del>	
	·   ·	CENTER	<b></b>	<b>.</b>		MCKEE AL		<u> </u>	
M1880P	RUBIN MS	FLORIDA CANCER	FORT MEYERS	FL	NO	WRIGHT BROWN V		ļ	
	INDERIN IND	SPECIALISTS	PUHI METERS	<u></u>	<u> </u>	AMARCALI BACKAN A	<del> </del>	l	
		SPECIALISTS	<u></u>		·	<del> </del>			<del></del> ;
M1901X	MODIANO MR	ARIZONA ONCOLOGY	TUCSON	ĀZ	NO	REBEIL JB		·   +	<del></del>
		ASSOCIATES	100001	- ^ <del>-</del> _		TEOLIL 30	ļ- <del></del>	-	
		ARIZONA CLINICAL	·					<del></del>	
		RESEARCH CENTER		-	<del> </del>		1		<del></del>
					İ	<del> </del>			
M1945Z	GOOFREY T	LOMA LINDA	LOMA LINDA	CA	NO	GODFREY T			} <del></del>
		UNIVERSITY		1		1			· <del>- · · · · · · · · · · · · · · · · · ·</del>
		MEDICAL CENTER							
M2163I	MUSS	MCHV	BURLINGTON	VT	NO	MUSS	l	.]	
						' •			2U8
									NO TMF1
	<u> </u>	1 1 31	ļ	<b>_</b>				<u>  L'                                   </u>	DOCUMENTATION
	<del></del>		<u> </u>	<u> </u>	ļ				IN CDM
							ļ		5/3/00
M2104M	SENECAL F	ST JOSEPH	TACOMA	WA	YES		NO	·	
		MEDICAL	1	1	1		f=	<u> </u>	
· · ·		PAVILION			<u> </u>				··
		NORTHWEST			<u> </u>	<del> </del>	<b></b>	· ·-··	·i
		MEDICASL	<b>†</b>		1	<b>-</b>			
		SPECIALTIES		1	<b> </b>	1		1	

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Contar Number	Principal Investigator	Study Facility	City		Form Submitted	Investigator not submitting form	information to discisse	investigator to disclose	Remarks:
M2166Q	WOOD AJ	CANCER	CORPUS	TX	NO	WOOD A		H	
	i	SPECIALIST OF	CHRISIT			BARKER KG			
		SOUTH TEXAS				JANAKI LM			11.
		1'				NASH ME			
	·					VILLAMIL A			
						STRONG DB			
						MCGLYNN LE			
						ANZALDUA R			
M2300K	GRALOW J	UNIVERSITY OF	SEATTLE	WA	NO	GRALOW J			
		WASHINGTON							
		MEDICAL SCHOOL							i

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	Study Number:	Principal investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	Investigator (o disclose
20267010250001FR	FEM3460026	AUDHUY B	CENTRE	COLMAR	FRANCE	NO	BARATS JC	NO	
			HOSPITALIER				FARESS HM	ļ	
			PASTEUR	·			SALZE PGM		
20267010250002FR	FEM3460026	CHOLLET P	CENTRE JEAN	CLERMONT	FRANCE	NO	CHOLLET P		
•	;		PERRIN	FERRAND '	<u> </u>	<del>`</del>	BAILLY C	·	-  <u>'</u>
20267010250003FR	FEM3460026	CUTULI B	CENTRE PAUL STRAUSS	STRASBOURG	FRANCE	NO	CUTULI B	NO	
	ļ		31NAU33	<del></del>				<del> </del>	
20267010260004FR	FEM3460025	DABAN A	CITE	POITIERS	FRANCE	NO	BOURGEOIS	NO	
			HOSPITALIÈRE LA MILETRIE				H		
20207010250005FA	FEM3450025	DELOZIER T	CENTRE FRANCOIS	CAEN	FRANCE	NO	DELOZIER T	NO	
			BACLESSE				OLLIMER		ļ
		<b>a</b>					JM		
							HARTWIG J		
	l						LEVYC		
	<u> </u>				<u> </u>		GENOT JY	.	
			ļ		<u> </u>		JOLYF		
20267010250008FR	FEM3460025	FARGEOT P	CENTRE G.F.	DIJON	FRANCE	YES		NO	
			LECLERC		1	f	1	1 .	ļ

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#### Fernara Protocol 25 Financial Disclosure

Center Number:	Study Number:	Principal investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	Investigator to disclose
20287010250007FR	FEM3460026	LE FLOCHO	HOPITAL	TOURS	FRANCE	NQ	BOUGNOUX P	NO	,
			BRETONNEAU		,		TRANQUART		1
							F		1
		, ,			I		BOITREAU L		
							REYNAUD A		
20287010250008FR	FEM3450025	LORTHOLARY A	CENTRE PAUL	ANGERS	FRANCE	NO NO	MAILLART	NO	
			PAPIN				P;DELVA		}
<del></del>	1				<b>{</b> <del></del>		R:GAMELIN	·	` <u> </u>
	<b></b>	<del></del>	·			<del></del> -	E		
	l — — — — —				<del></del>			·	<del> </del>
20267010250000FR	FEM3460026	MARTY M	HOPITAL	PARIS	FRANCE	NO	GIACCHETTI	NO	
		•	SAINT-LOUIS				8		1
			1		i		EFTEKHARI-		
							UFOUR P		
	FEM3460025	*********				NO NO		ļ	
20287010250010FR	LEW3400059	MAURIAC L	INSTITUT	BORDEAUX	FRANCE	NO -	MAURIAC L		
<del></del>			BERGONIE				PALUSSIERE		
			<del> </del>		l		CAMPO P		
							DEBLET M	·	
							FLOQUET A		
20267010250011FR	FEM3450025	MIGNOT L	HOPITAL FOCH	SURESNES	FRANCE	YES		NO	
20267010250012FR	FEM3460025	ACADMED A	HOPITAL A.	MONTBELIARD	FRANCE	NO	GRANDGIRARD	NO	
COEDIO INEDUO ISTIN	- Emoraudes	MOTOR N	BOULLOCHE	MONTECLIANU	FRANCE	<u> </u>	A WINDSHAMD		
			BUILLOUME	<del></del>	ļ		CORBION O		
							CONDICTION O	·	
20267010260013FR	FEM3460025	MORVAN F	CENTRE	PONTOISE	FRANCE	YES	1·	NO	
•			HOSPITALIER R.		1			]	
•	-		DUBOS						

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#### Fernara Protocol 25 Financial Disclosure

			T				l		T
Center Number:	Study Number:	Principal investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	Investigator to disclose
20267010250014FR	FEM3460025	NAMER M	CENTRE ANTOINE	NICE .	FRANCE	YES		NO	\
			LACASSAGNE						1
	·	* ,r · · · · · · · · · · · · · · · · · ·							<u> </u>
20267010250015FR	FEM3460026	NETTER-PINON G	HOPITAL DE	MEAUX	FRANCE	YES	ł	NO	
			MEAUX				l		
			, t		·	<del></del>			
20267010250016FR	FEM3460026	ROMEU G	CENTRE PAUL	MONTPELLIER	FRANCE	YES		NO	<del> </del>
			LAMARQUE				-		]
20267010250019FR	FEM3460026	SERIN D	CLINIQUE SAINTE	AVIGNON	FRANCE	NO	SERIN D		
			CATHERINE				PARET M		
-							;		,
20267010250020FR	FEM3460026	SPIELMANN M	INSTITUT	VILLEJUIF	FRANCE	NO	LLOMBART	NO	
			<b>QUSTAVE ROUSSY</b>				CUSSAC A		
20287010250021FR	EEMMENNAS	TUBIANA MATHIEU	C.H.R.U.	LIMOGES	FRANCE	YES		NO -	
2020/01020021//1	LEMPHOOF	TODAY MATTINEO	O.N.N.O.	Limous	Trouve			-	
20287010250022FR	FEM3450026	WEBER B	CENTRE ALEXIS	VANDOEUVR	FRANCE	YES		NO	
	1	· · · · · · · · · · · · · · · · · · ·	VAUTRIN	E LES			<b> </b>		
	ļ			NANCY	<u> </u>				
20267010260024FR	FEMOMS0026	NOUYRIGAT P	CLINIQUE DU CAP	LA SEYNE	FRANCE	NO	NOUYRIGAT		<u> </u>
	1		D'OR	SUR MER	1111102		P	-	·
			·						
20267010250025FR	FEM3460026	WENDLING JL	CLINIQUE DE	HYERE8	FRANCE	NO	WENDLING		
			L'ESPERANCE				N		
20267010250026FR	FEMALEONOS	MALAURIE E	HOPITALIER	CRETEIL	FRANCE	NO	MALAURIE E		
	1		INTERCOMMUNAL		1	<del>'~</del>	MARTIN		·
	<del> </del>	<del> </del>	DE CRETEIL		<del> </del>		JUST M		
		<del> </del>	DE OFFIER	<del></del>	<del>  `</del>		3001 m		·

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#### Femara Protocol 25 Financial Disclosure

Center Number:	Study Number:	Principal investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	Investigator to disclose,
	,						1		
20287010250027FR	FEM3460026	MAYEUR D	HOPITAL MIGNOT	LE	FRANCE	NO	MAYEUFO	-	<b>\</b>
****************				CHESNAY			DARSE T		t'p
		* • •							
20267010250028FR	FEM3460026	MARTIN C	CENTRE	ANNECY	FRANCE	NO	MARTIN C		
		72	HOSPITALIER				LAPALU A		
			GENERAL		· l ·		GROS C		
20267010260029FR	FEM3460026	GUASTALLA JP	CENTRE LEON	LYON	FRANCE	NO NO	GUASTALLA		ļ
TOTAL OF SOUTH	L CIMO-100050	GONO IALLA SP	BERARD	LION	FRANCE		JP		·
			OCTORD			-		1	<del> </del>
20267010250030TN	FEM3450026	BEN AHMED 8	CHU FERHAT	SOUSSE	TUNESIA	<del>-  </del> -		-	· · · · · · · · · · · · · · · · · · ·
			HACHED				, i		
20267010250002IN	FEM3460026	BAPSY PP	KIDWAI MEMORIAL	BANGALORE	INDIA	YES		NO	
			INSTITUTE OF		-			-	
			ONCOLOGY						
20267010250003IN	FEM3460026	MITTRA I	TATA MEMORIAL	MUMBAI	INDIA	YES		NO NO	
			HOSPITAL						
20267010260001IN	FEM3450026	RAINA V	INSTITUTE	NEW DEHLI	INDIA	YE8		NO	
			ROTARY CANCER						
			HOSPITAL	<b> </b>					
				<u> </u>			l	-	
CFEM34600260008A	FEM3460025	MELLEA	KRANKENHAUS DER	GLAN	AUSTRIA	NO	WILHELM B	NO	
	<u> </u>		BARMHERZIGEN BRUEDER	<del></del>			ILSINGER P		
			ושמשעות	<del></del>					· <del> </del>
CFEM34500250002A	FEM3460026	STIERER M	HANUSCH	WIEN	AUSTRIA	NO NO	VERDULI E	NO	
		i	KRANKENHAUS						
CFEM346002600010	FEM3460026	PAVLIDIS N	UNIVERSITY OF	LOANNINA	GREECE	YES		NO	
•			LOANNINA		7-0-	-1		<del>'=-</del>	

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Center Number:	Study Number:	Principal Investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	investigator to disclose
20267010260002FIU	FEM3460025	GARIN A	CANCER RESEARCH	MOSCOW	RUSSIA	YES		NO	<u> </u>
			CENTER				7		
			CANCER RESEARCH	MOSCOW	RUSSIA	YES		NO	17
10267010250003FIU	rem3400020	GORBUNOVA V	CENTER	MOSCOTA	HUSSIA	IVES			
								-	
10267010260004RU	FEM3460025	LICHINITSER M	CANCER RESEARCH	MOSCOW	RUSSIA	YES		NO	<u> </u>
	<del></del>	- <del></del>	CENTER	_					·[
20267010250005FW	FEM3450025	GERSHANOVICH M	PETROV	PETERSBURG	RUSSIA	YES		NO	
			MSTITUTE OF						
			ONCOLOGY .			_	<del></del>	-	<del>                                     </del>
202 <b>67</b> 0102 <b>6</b> 0004 <b>8</b> E	FEM3460025	ALBERTSSON M	ONKOLOGISKA	LUND	SWEDEN	NO.	BORGIS RIKJ	NO	
			KLINIKEN	-			BOSTEDT I		· } <del></del>
	1	l	UNIVERSITET88JU		1		CWIKIEL M		
			KHUSET						
202670102600028E	FEM3460025	MALMSTROEM A	ONKOLOGISKA	LINKOEPING	SWEDEN	NO NO	OLALLO M .	NO	<del> </del>
			KLINIKEN						
			UNIVERSITETSSJU						
			KHUSET		ļ <u>'</u>				ļ
CFEM345002500020	FEM3460026	GEORGOULIAS V	UNIVERSITY	HERAKLION	GREECE	YES		NO	<u>  '</u>
			HOSPITAL OF						
			HERAKLION				ļ		<u> </u>
CFEM345002500034	FEM3460026	ARAVANTINOS G	GENERAL	ATHENS	GREECE	YES		NO	
			HOSPITAL OF				1		
	Î		ONCOLOGY AGIOI		1				
			ANARGIRI N.	1			I		
	•	1	KIFISSIA .						
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Center Number:	Study Number:	Principal Investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	investigator to disclose
CFEM34600260004G	FEM3460026	KALOFONOS HP	UNIVERSITY	PATRA	GREECE	NO	KALOFO 108	NO	
	'		HOSPITAL OF				HP		
		p ,1	PATRA						<del></del>
CFEM34600260001P	FEM3450025	KORALEWSKI P	SZPITAL	KRAKOW	POLAND	YES		NO	
	1	1	SPECJALISTYCZNY		-	-  <del></del> -			I
	<del></del>		IM L. RYDYGIERA			_			
CFEM34600260002F	FEM3460026	PLUZANSKA A	WOJEWODZKI	KRAKOW	POLAND	YES	<u></u>	NO	<u> </u>
			SZPITAL						
CFEM34500260001D	FEM3460026	JAENICK FKH	UNIVERSITAETS-K	HAMBURG.	GERMANY	YE8		NO NO	
			RANKENHAUS		1	i.		-	<i>,</i>
			EPPENDORF						
CFEM346002600020	FEM3460026	ABENHARDT W	GEMEINSCHAFTSPR	MUENCHEN	GERMANY	YES		NO	
			AXIS						
~ <del></del>			PRIEMAYERSTRAS						
			SE		_				
CFEM345002500030	FEM3460026	REICHARDT P	ROBERT-ROESSLE-	BERLIN	GERMANY	YES		NO	
			KLINIK - FU						
CFEM345002500040	FEM3460026	BRANDINER M	KREISKRANKENHAU	WETZLAR	GERMANY	NO	BRANDTNER	NO	
			8 WETZLAR			_	M		
CFEM34600260006D	FEM3460026	ROHRBERG R	ONKOLOGISCHE	HALLE	GERMANY	NO	ROHRBERG R		<u>-</u> :
			GMEINSCHAFTSPRA				SCHAEDLICH	1	
			XIX				В		
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Center Number:	Study Number:	Principal Investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting	Information to Disclose	investigator to disclose
CFEM34600250008D		SCHINDLER AE	UNIVERSITAETSKL	ESSEN	GERMANY	NO	SCHINDLER	IN CHARGE	N GILLION
OF EMPHOUSEOUVED	- CM3-1000E3	oorwooden 4E	INIKUM ESSEN	LOOEN	1	-	AE		· ·:
	<del></del>	<del> </del>		···		-	BARKHAUSEN		<del> </del>
						-	1		
	· · · · · · · · · · · · · · · · · · ·				ļ	-	MUELLER RD		
					r			·   ·	
CFEM34600260000D	FEM3460025	SUCHY B	GENTER STRASSE	BERLIN	GERMANY	NO	SUCHY B	·	
		=== <u>==</u>					RASENACK	-	·
		,					TW	1	
						-			
CFEM346002600000	FEM3460026	DENGLER REM	BAHNHOFERSTRASSE	REGENSBURG	GERMANY	YES		NO	
									<u> </u>
CFEM34600260010D	FEM3460026	VOELKL SJ	DACHAUERSTRASSE	MUENCHEN	GERMANY	YES	l	NO	
		•				_			
				ļ	<u> </u>				
CFEM34600260011D	FEM3460026	HOEFFKEN K	FRIEDRICH-SCHIL	JENA	GERMANY	NO	HOEFFKEN K		
····			LER-UNIVERSITY		ļ				
	ļ	- <del> </del>	JENA	ļ <del></del> -					
						-	<b></b>		<u> </u>
CFEM34600260012D	FEM3460025	VONMINCKWITZ G	UNIVERSITY OF	FRANKFURT	GERMANY	YES .		NO	· · · · · · · · · · · · · · · · · · ·
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#### Femara Protocol 25 Financial Disclosure

Study Number:	Principal investigator	Study Facility:	City	Country	Form Submitted	Investigator not submitting		Investigator to disclose
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Femara Protocol 25 Financial Disclosure

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#### Femara Protocol 25 Financial Disclosure

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			HOSPITAL						. — — —
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			LEYENBURG		,				· · · · · · · · · · · · · · · · · · ·
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## Femara Protocol 25 Financial Disclosure

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	-		ONCOLOGY CENTER		KINGDOM				

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# 1 NOVARTIS



# INVESTIGATORS AND TRIAL CENTRE PERSONNEL FOR WHOM FINANCIAL DISCLOSURE STATEMENT REQUIRED

Trial Drug CFEM345D	Protocol Num	ber CFEM345 0102
Principal Investigator Dr S. Freestone	Centre No.	1

Trial Centre Personnel	🤲 Job Tide	Role in trial or relationship to Investigator as applicable
Dr. S. Freestone	Principal Investigator	of street supervision
Ðr. M. Turner	Co- Investigator	Assist Principal Investigator in Medical aspects of trial
Dr. L. Geertsema	Co- Investigator	Assist Principal Investion garbor in medical cooperats of tried
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### CLINICAL PHARMACOLOGY

# CERTIFICATION/DIZGLOSURE FORM

Volvedex® Tablets in Po	le-Dose, Randomized, Open-Label, Crossover Study Compenng Generic Tamoxifun Citrate Tablets and estmenopeusal Wirmon
. Protocol number: CF	EM345 0102
. Investigator X	Subinvestigator D
. Investigator/subine .3	stigstor Nuling: Dr. S. Fruestone
•	·
	Clinical Rosearch Ltd., Origo Centre, Honot-Watt Research Park, Riccarton, EH14 4AP, Edinburgh,
icotland i. Telephone: +44 (0) 1	875 614545 7. Fax: +44 (0) 1875 614555
. Indicate by marking	Yes or No If any of the financial interests or arrangements with Novartis of concern to FDA (and
lescribe below) apply to	o vou, vour snouse, or dependent children:
'es No □ E	Financial Arrangements whereby the value of the compensation could be influenced by the outcome of the study. This could include, for example, compensation that is explicitly greater
-	for a favorable outcome, or compensation, to the investigator in the form of an equity interest in
••	the sponsor or in the form of compensation tied to sales of the product such as a royalty
	interest
	If yes, please describe:
	4
'es - No	Significant payments of other sorts, excluding the costs of conducting the study or other clinica studies. This could include, for example, payments received by the investigator to support
<b>.</b>	activities that have a monetary value greater than \$25,000 (i.e. a grant to the investigator or the
	institution to fund ongoing research, compensation in the form of equipment, or retainers for
	ongoing consultation or honoraria).
	If yes, please describe:
	in yes, presse describe.
res No	A proprietary or financial interest in the test product such as a patent, trademark, copyright, or
	licensing agreements.
	If yes, please describe:
Yes No	A significant equity interest in the sponsor of the study. This would include; for example, any ownership interest stock options, or other financial interest whose value cannot be easily
•	determined through reference to public prices, or any equity interest in a publicly traded
	company exceeding \$50,000.
•	Managaran danadan
	If yes, please describe:
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I hereby certify the	t none of the financial interest or arrangements listed above exist for myself, my spouse, or my depende
	_
	1 CFR Parts 54.1 to 54.8, I declare that the information provided on this form is, to the best of m, true, correct, and complete. Furthermore, if my financial interests and arrangements, or those of m, true, correct, and complete. Furthermore, if my financial interests and arrangements, or those of m.
	TO A PARTIE AND ADDRESS OF THE PROPERTY OF THE PARTIES AND ADDRESS OF THE P
after the last patient h	as completed the study as specified in the protocol, I will notify(company name) promptly.
A Mana data and	
9. Name: (please prin	
Signeture	10. Date 18 Nov 1999

Financial Disclosure by Clinical Investigators

Invest	gator D		Subinvestigator X
		r Name: Dr. M. Turner	
Addr	ess:Inveresk_Clinical		enot-Walt Rassarch Peni, Riccarton, 6H14 4AP, Edinburgh,
Talasi	none: +44 (0) 1875 6	14545	7. Fax: +44 (0) 1875 814565
Indica	ete by marking Yes	or No if any of the snancial into your spouse, or dependent childre	rests or arrangements with Novartis of concern to FDA (and in:
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)	<b></b>	for a favorable outcome, or com-	Id include, for example, compensation that is explicitly greater ipensation to the investigator in the form of an equity interest in impensation tied to sales of the product such as a royalty
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	No.	licensing agreements.	
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			ments listed above exist for myself, my spouse, or my dependen
knowled	rdance with 21 CFF sige and belief, true,	correct, and complete. Furthern	est the information provided on this form is, to the best of my lore, if my financial interests and arrangements, or those of my provided above during the course of the study or within one year e protocol, I will notify(company name) promptly.
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J. regit			

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# 290 CLINICAL PHARMACOLOGY Novariis CERTIFICATION/DISCLOSURE FORM Financial Disclosure by Clinical Investigators

<ol> <li>Study Name: A Single-Dose Notvadex<sup>®</sup> Tablets in Postment</li> </ol>		assover Study Companing Generic Tamoxiferi Citrate Tablets and	
2. Protocol number: CFEM34			
3. Investigator		Subinvestigator X	
4. Investigator/subinvestigator	Name: Dr. L. Geertsems		
5. Address:Inveresk Clinical Scotland	Research Ltd., Origo Centre,	Hanol-Welt Research Park, Riccarton, EH14 4AP, Edinburgh,	
5. Telephone: +44 (0) 1875 61		7. Fax: +44 (0) 1875 614555	
	or No it any of the financial in Your spouse, or dependent childs	erests or arrangements with Novartis of concern to FDA (and	
Yes No	Financial Arrangements where outcome of the study. This co for a favorable outcome, or co	by the value of the compensation could be influenced by the uld include, for example, compensation that is explicitly greater impensation to the investigator in the form of an equity interest in ompensation tied to sales of the product such as a royalty	
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Yes No	A proprietary or financial intenticensing agreements.  If yes, please describe:	est in the test product such as a patent, trademark, copyright, or	
Yes No	A significant equity interest in the sponsor of the study. This would include, for example, any ownership interest stock options, or ether financial interest whose value cannot be easily determined through reference to public prices, or any equity interest in a publicly traded company exceeding \$50,000.		
	If yes, please describe:	- <del></del>	
or		most listed about evid for muself my envise or my department	
children. In accordance with 21 CFR I knowledge and belief, true, or spouse and dependent children.	Parts 54.1 to 54.8, I deciare the complete. Furtherm on change from the information	ments listed above exist for myself, my spouse, or my dependent net the information provided on this form is, to the best of my lore, if my financial interests and arrangements, or those of my provided above during the course of the study or within one year e protocol, I will notify(company name) promptly.	
9. Name: (please print) D/L Signature	L. GEERTSONA	10. Date 16 Nov 199	

#### Dr. Study No. Femara 25

# Novertis CERTIFICATION/DISCLOSURE FORM Financial Disclosure by Clinical Investigator

Study Name:	Pinkness Disciosure by Clinical	INVESTIGATORS	
i. Study Name:			
2. Protocol number:			
3. Investigator	Subinvestigate		
4. Investigator/subinvestigator			
4. III 630ga 611365 III 7031ga 61			
5. Address:		-	
6. Telephone:	7. Fax:		
	No if any of the financial interests or arrangem	ents with Novarus of concern to FDA (and describe below)	
Yes No	the study. This could include, for example, co	the compensation could be influenced by the outcome of empensation that is explicitly greater for a favorable in the form of an equity interest in the sponsor or in the fuct such as a royalty interest.	
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	If yes, please describe:		
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	If yes, please describe:		
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or			
I hereby certify that none of in accordance with 21 CFR Pabellef, true, correct, and comp	rts 54.1 to 54.8, I declare that the information lete. Furthermore, if my financial interests ar	we exist for myself, my spouse, or my dependent children. provided on this form is, to the best of my knowledge and arrangements, or those of my spouse and dependent the study or within one year after the last patient has	
completed the study as specifie	d in the protocol, I will notify Novartis promptly.		
9. Name: (please print)	4	•	
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Signature:	1	10. Date:	

Financial Disclosure Statement:

October, 1999

Dr has received the following payments, either directly or indrectly from Novartis.

Novartis Pharmaceuticals. Correlative Science for Protocol CGS 2026705024 (Preoperativehormone therapy) 2/98-12/99

This is a grant awarded to Di throught to compare the molecular action of the aromatase letrozole and the antiestrogen tamoxifen in a preoperative endocrine therapy trial.

TOTAL AWARD S

Honoraria with respect to educational lectures, tumor boards and CME sessions on endocrine therapy for breast cancer.

TOTAL AMOUNT \$( through a public relations company retained by Novartis to provide physician education.

Consulting fees with respect to letrozole clinical trial results and physician education sessions

TOTAL AMOUNT S( lirectly from Novartis.

This income has been reported to the IRS.

Dr ( )anticipates further income from these sources and will update the FDA as requested.

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# CLINICAL TEAM LEADER AND DIVISION DIRECTOR REVIEW OF SUPPLEMENTAL NDA

NDA 20726/S006

NAME OF DRUG Femara (letrozole tablets)

APPLICANT Novartis

DATE OF APPLICATION July 11, 2000

PROPOSED INDICATION

"First-line treatment of postmenopausal women with advanced breast cancer"

#### **BACKGROUND**

Femara is currently approved for "treatment of advanced breast cancer in postmenopausal women with disease progression following antiestrogen therapy.

The FDA requirement for approval of a new hormonal drug for initial treatment of postmenopausal women with advanced metastatic breast cancer is non-inferiority or superiority to tamoxifen for tumor response rate in randomized controlled trials comparing the new hormonal drug to tamoxifen. This is conditional that the new hormonal drug is not worse than tamoxifen for time to tumor progression (TTP) or survival. Statistical non-inferiority for TTP and survival need not be shown, but the new hormonal drug and tamoxifen must at least be similar. Survival data will usually be immature at the time of approval. A Phase 4 commitment to submit follow-up survival data is required.

Non-inferiority of the new hormonal agent to tamoxifen for TTP or survival would not be adequate for approval because tamoxifen has never been shown to have a favorable effect on TTP or survival in this patient population. Of course better survival for the new hormonal drug would be adequate for approval. Better TTP for the new hormonal drug would also be adequate for approval provided survival is similar.

#### CLINICAL TRIALS

One randomized controlled double-blind double dummy multinational clinical trial was conducted in 916 postmenopausal women with hormone receptor positive or hormone receptor unknown locally advanced (Stage IIIB or locoregional recurrent disease not amenable to treatment with surgery or radiation) or advanced metastatic breast cancer comparing Femara 2.5 mg orally once daily with Tamoxifen 20 mg orally once daily. The safety and efficacy data are shown in the following Tables.

Table 1 Efficacy Results per Novartis and per FDA

	Novartis			FDA		
	Femara 453 pts	Tam 454 pts	р	Femara 453 pts	Tam 454 pts	р
Response Rate	1		<u> </u>			<del>                                     </del>
CR	34 (8%)	13 (3%)		39 (9%)	14 (3%)	
PR	103 (23%)	79 (17%)		108 (24%)	84 (18%)	
Total	137 (30%)	92 (20%)	0.00061	147 (32%)	98 (21%)	0.00031
Resp Duration (mo)	17.0	16.5	Not Done	11.5	10.3	Not . Done
Median TTP (mo)	9.4	6.0	0.00012	9.87	6.15	0.00012

<sup>&</sup>lt;sup>1</sup> Chi Square Test, Two-Sided

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<sup>&</sup>lt;sup>2</sup> Log Rank test, Two-Sided

Table 2 Serious AE's per FDA

Toxicity	Femara (455 pts)	Tamoxifen (455 pts)
Peripheral thromboembolic events	8 (2%)	11 (2%)
Cardiovascular events	7 (2%)	4 (1%)
Cerebrovascular events	5 (1%)	6 (2%)
Fractures	21 (5%)	18 (4%)
Endometrial cancer	0 (0%)	1 (0.2%)
Ocular toxicity	<b>7 (2%)</b>	5 (1%)

- Peripheral thromboembolic events included venous thrombosis, thrombophlebitis, portal vein thrombosis and pulmonary embolism.
- Cardiovascular events included angina, myocardial infarction, myocardial ischemia, and coronary heart disease.
- Cerebrovascular events included transient ischemic attacks, thrombotic or hemorrhagic strokes and development of hemiparesis.
- Fractures- 21 Femara treated patients had a total of 26 fractures compared 18 tamoxifen treated patients who had a total of 20 fractures. All, or almost all, fractures were disease-related.

Femara has a highly statistically and clinically significant advantage over tamoxifen in TTP and objective tumor response. Survival data is not yet mature, but current information indicates that Femara survival is at least as good as tamoxifen.

Femara safety is similar to tamoxifen and is acceptable for a hormonal drug in this patient population. Femara induced hypoestrogenemia has the potential for long term adverse effects on bone and the cardiovascular system. These adverse effects have not been seen in this study and the life expectancy of these patients is probably too short for them to occur. These possible adverse effects are being studied in the adjuvant setting where life expectancy is longer.

This study meets the FDA criteria for approval of a new use for a marketed drug based on results of a single study. This is a large multicenter study with results that are impressive both clinically and statistically. Results are internally consistent across prognostic subgroups.

A supportive double blind double dummy RCT in 324 postmenopausal patients with breast cancer compared Femara 2.5 mg daily and tamoxifen 20 mg daily for up to four months prior to mastectomy. Tumor response was 55% for Femara and 36% for tamoxifen (p=<0.001). Breast conserving surgery was achieved in 45% of Femara patients and 35% of tamoxifen patients (p=0.022). This study provides evidence of Femara antitumor effect in a different patient population and is supportive of the study in the proposed new indication.

The two RCTs that were the basis of approval of Femara for treatment of advanced breast cancer in postmenopausal women with disease progression following antiestrogen therapy are also supportive of Femara for the first-line indication.

On December 13, 2000 the Oncology Drugs Advisory Committee unanimously recommended approval of this SNDA.

Some labeling revisions are necessary. See labeling revised by the Femara review team.

#### RECOMMENDATION

This SNDA is approvable with labeling revisions. See labeling revised by the Femara review team.

/S/

Richard Pazdur, M.D.
Director Division Oncology Drugs
December 21, 2000

**/S/** 

John R. Johnson, M.D. Clinical Team Leader December 21, 2000

cc NDA 20726
Division File
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